

## Appendix 2 IJB Risk Actions

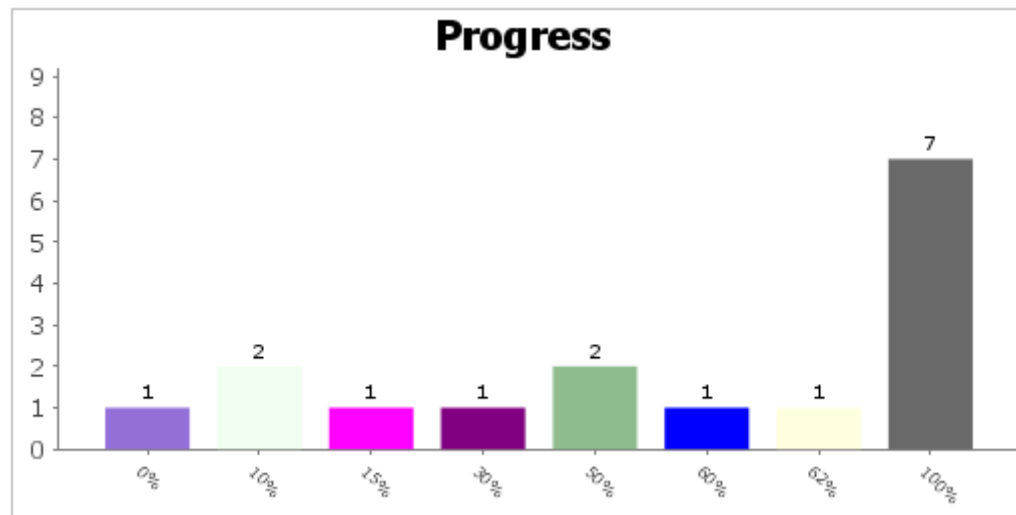
**Report Type:** Actions Report

**Report Author:** Kenneth Ribbons












**Generated on:** 23 March 2017 10:18


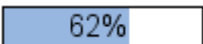

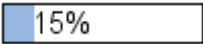


**Report Layout:** Actions Progress summary, with desired outcome

Rows are sorted by Original Due Date



Status	Action Code & Title	Action	Due Date	Progress Bar	Assigned To	Linked Risks Code & Title
✓	IJB15001_Ari Governance and Key Actions	A report was submitted to the IJB meeting of 8/12/15 agreeing the establishment of an Appointments Committee to deal with the appointments of the Director (Chief Officer), Finance Officer, Internal Audit Officer and Standards Officer.	29-Feb-2016	100%	Jim Forrest	IJB001 Governance Failure
✓	IJB16006_Ar IJB Commissioning Plans	Approval and implementation of commissioning plans.	31-Mar-2016	100%	Carol Bebbington	IJB009 Demographic Changes

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	IJB16001_Ar Workforce Plan	Finalise and deploy workforce plan.	30-Sep-2017	<div><div>30%</div></div>	Alan Bell	IJB008 Workforce Management
	IJB16002_Ar Review of outstanding actions re IJB integration scheme	Report on outstanding actions and monitoring of progress.	31-Dec-2016	<div><div>100%</div></div>	Alan Bell	IJB001 Governance Failure
	IJB16003_Ar Health and Care Governance Group	Health and Care Governance Group operational.	31-Dec-2016	<div><div>60%</div></div>	Carol Bebbington	IJB004 Failure of Clinical and Care Governance
	IJB16008_Ari IJB Risk Management Strategy and Policy	The Risk Management Strategy and Policy will be finalised and reported to the IJB meeting on 14 March 2017.	14-Mar-2017	<div><div>100%</div></div>	Kenneth Ribbons	IJB001 Governance Failure
	IJB16004_Ari Presentation of Strategic Plan Action Plan to the IJB	The action plan will be presented to the Board by 31 March 2017.	31-Mar-2017	<div><div>100%</div></div>	Carol Bebbington	IJB002 Failure to effectively implement the Strategic Plan
	IJB16007_Ari IJB Audit, Risk and Governance Committee Membership	There is scope to consider ways in which non-voting "advisers" or "associate members" may be involved in the Audit, Risk and Governance Committee in some capacity.	31-Mar-2017	<div><div>50%</div></div>	Jim Forrest	IJB001 Governance Failure
	IJB16010_Ari SLA's for IJB Audit, Risk and Governance Services	SLA's will be put in place by 31 March 2017.	31-Mar-2017	<div><div>10%</div></div>	Jim Forrest	IJB001 Governance Failure
	IJB16013_Ari Medium Term Financial Reporting Update	An update report on medium term financial planning will be presented to the Board following the 2017/18 Scottish Government budget announcement expected in late 2016 / early 2017.	31-Mar-2017	<div><div>100%</div></div>	Patrick Welsh	IJB005 Inadequate Funding
	IJB16014_Ari Financial Assurance and Risk Review	Future monitoring reports and future year financial assurance reports will include reference to categorised risk areas noted as relevant.	31-Mar-2017	<div><div>100%</div></div>	Patrick Welsh	IJB005 Inadequate Funding
	IJB16012_Ari Clinical Director Annual Report	The Clinical Director will prepare a report covering clinical governance for 2016/17 and this will be presented to the Board by 30 June 2017.	30-Jun-2017	<div><div>0%</div></div>	Jim Forrest	IJB004 Failure of Clinical and Care Governance
	IJB16005_Ar Delivery of the Annual Report	Delivery of the 2016/17 Annual Report to the IJB.	31-Jul-2017	<div><div>50%</div></div>	Carol Bebbington	IJB002 Failure to effectively implement the Strategic Plan IJB003 Inadequate

Status	Action Code & Title	Action	Due Date	Progress Bar	Assigned To	Linked Risks Code & Title
						Performance Management
	IJB16011_Ari Progress in IJB Governance Arrangements	<p>The requirements of the integration scheme are scheduled to be completed by the 31 March 2017, with the exception of the full implementation of Community Planning participation which is scheduled for completion by 30 June 2017 and the preparation of a Code of Corporate Governance which is scheduled for completion by 30 September 2017.</p> <p>There is a monitoring process in place which ensures progress is monitored on a regular basis.</p>	30-Sep-2017		Jim Forrest	IJB001 Governance Failure
	IJB16015_Ar Medium Term Financial Plan	Preparation of a medium term financial plan covering a minimum three year period to 31/3/21. Report on proposed approach to medium term financial plan will be presented to IJB on 27 June 2017.	31-Dec-2017		Patrick Welsh	IJB005 Inadequate Funding
	IJB16009_Ari Independent audit of IJB risk management	With the permission of the IJB Audit Risk and Governance Committee, the Falkirk internal audit team will be invited to provide independent assurance as to the IJB's risk management activities.	31-Mar-2018		Kenneth Ribbons	IJB001 Governance Failure