

West Lothian Integration Joint Board Audit Risk and Governance Committee

Date: 31 March 2017

Agenda Item: 8

RISK MANAGEMENT

REPORT BY DIRECTOR

A PURPOSE OF REPORT

To advise the Committee of the updated IJB risk register.

B RECOMMENDATION

It is recommended that the Committee considers the risks identified, the control measures in place, and the risk actions in progress to mitigate their impact.

C TERMS OF REPORT

In accordance with the Risk Management Strategy approved by the West Lothian Integration Joint Board on 14 March, the Audit, Risk and Governance Committee is required to review the risk register at least twice per annum.

Appendix one sets out, for each risk, the risk description, current controls, original risk, current risk, target risk, and associated risk actions. In relation to appendix one:

- The traffic light icon represents the risk ranking based on the score (i.e. high, medium high, medium or low); these are explained further in the table at the start of appendix 1;
- The original risk score represents the uncontrolled risk, that is to say the potential impact if controls are absent or fail;
- The current risk score represents the current risk, i.e. assuming that current controls are in place and effective;
- The target risk is the risk score being worked towards;
- The internal controls are those processes in place to reduce the risk from original risk score to current risk score;
- The risk actions are those measures in progress which are intended to further reduce the current risk.

Appendix two summarises progress in relation to the risk actions. The standard risk assessment methodology is attached as appendix three.

D CONSULTATION

IJB Senior Management Team.

E REFERENCES/BACKGROUND

Report to West Lothian Integration Joint Board Audit Risk and Governance Committee 6 January 2017: Risk Management.

Report to West Lothian Integration Joint Board 14 March 2017: Risk Management Policy and Strategy.

F APPENDICES

1. Integration Joint Board Risks
2. Integration Joint Board Risk Actions
3. Risk Management Methodology

G SUMMARY OF IMPLICATIONS

Equality/Health	The report has been assessed as having little or no relevance with regard to equality or the Public Sector Equality Duty. As a result, equality impact assessment has not been conducted. The relevance assessment can be viewed via the background references to this report.
National health and Well-Being Outcomes	Effective risk management is a pre-requisite for effective performance.
Strategic Plan outcomes	Effective risk management is a pre-requisite for effective performance.
Single Outcome Agreement	Effective risk management is a pre-requisite for effective performance.
Impact on other Lothian IJBs	None.
Resource/Finance	None.
Policy/Legal	None.
Risk	This report sets out progress in relation to management of the IJB's risks.

H CONTACT

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