

West Lothian Integration Joint Board Audit Risk and Governance Committee

Date: 6 January
2017

Agenda Item: 6

INTERNAL AUDIT OF WEST LOTHIAN INTEGRATION JOINT BOARD GOVERNANCE ARRANGEMENTS

REPORT BY INTERNAL AUDITOR

A PURPOSE OF REPORT

To inform the Audit, Risk and Governance Committee of our internal audit of the IJB's governance arrangements.

B RECOMMENDATION

It is recommended that the Committee notes that we have concluded that control requires improvement.

C TERMS OF REPORT

In accordance with the internal audit plan for 2016/17, we have undertaken an audit of the IJB's governance processes. The resultant audit report is attached as an appendix, and agreed management actions are included as an action plan within the report.

Our internal audit work involved reviewing the IJB's current governance processes. As noted in the report, a review process is in place to ensure that all of the requirements of the Integration Scheme are in place or are in progress and regular update meetings are held with the IJB Director to review progress. Notwithstanding this process, a number of areas for improvement have been identified and are set out in the report's action plan.

D CONSULTATION

IJB Director and Standards Officer as part of the audit process.

E REFERENCES/BACKGROUND

Report to West Lothian Integration Joint Board Audit Risk and Governance Committee 24 June 2016: Internal Audit Plan 2016/17.

F APPENDICES

Internal audit report dated 20 December 2016: West Lothian Integration Joint Board Governance Review

G SUMMARY OF IMPLICATIONS

Equality/Health	None.
National health and Well-Being Outcomes	Indirectly via the audit of key processes to determine their effectiveness.
Strategic Plan outcomes	Indirectly via the audit of key processes to determine their effectiveness.
Single Outcome Agreement	Indirectly via the audit of key processes to determine their effectiveness.
Impact on other Lothian IJBs	None.
Resource/finance	None.
Policy/Legal	None.
Risk	The internal audit aims to provide assurance in relation to key risks to the IJB's objectives.

H CONTACT

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