
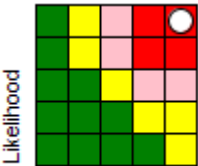

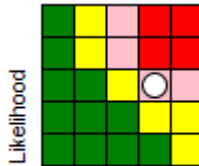

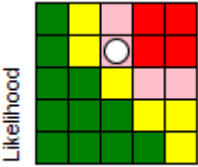

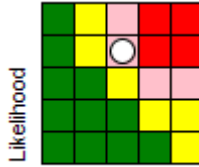

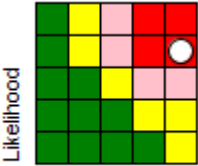

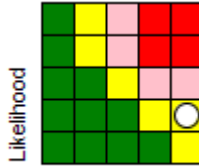



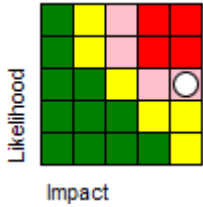

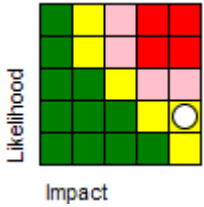

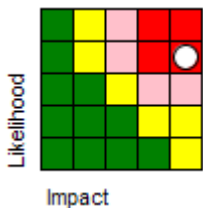

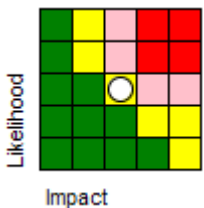

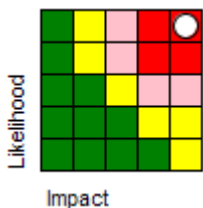

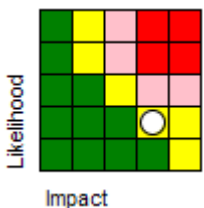
West Lothian Integration Joint Board Risks


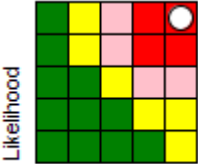

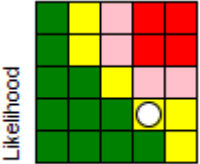

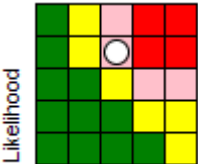

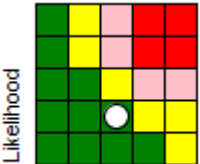

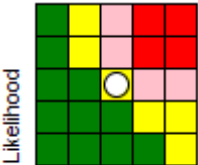

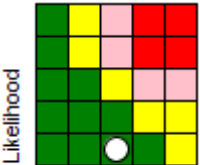
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Report Layout: Original and current risk matrices

Rows are sorted by Risk Score, Risk Score

Risk Code and Title	Description	Original Risk Score	Traffic Light Icon	Original Matrix	Current Risk Score	Traffic Light Icon	Current Risk Matrix	Assigned To	Internal Controls
IJB005 Inadequate Funding	Funding is inadequate to meet strategic objectives, or is inadequately apportioned.	25		 Likelihood Impact	12		 Likelihood Impact	Jim Forrest	S95 Officer Due diligence by S95 Officer Approval of resource allocations by IJB Board Monitoring / reporting of progress / outturn Scrutiny by Audit Committee Financial Regulations / rules for overspends
IJB008 Workforce Management	Performance inhibited by: funding pressures; IJB resource allocation; inability to recruit and retain key professional staff.	12		 Likelihood Impact	12		 Likelihood Impact	Jim Forrest	Current NHS and WLC workforce management arrangements. Reporting to, and monitoring by, IJB SMT and Board.
IJB002 Ineffective Strategic Plan	Ineffective strategic plan leads to key objectives not being achieved.	20		 Likelihood Impact	10		 Likelihood Impact	Jim Forrest	National outcomes. Local outcomes. Clear vision as to what is required Strategic Plan based on national and local policy Review of plan by IJB SMT Approval of plan by IJB Board

Risk Code and Title	Description	Original Risk Score	Traffic Light Icon	Original Matrix	Current Risk Score	Traffic Light Icon	Current Risk Matrix	Assigned To	Internal Controls
IJB001 Governance Failure	Lack of leadership and / or ineffective governance leading to failure to meet key objectives, financial overspends or reputational damage.	15			10			Jim Forrest	Director / S95 Officer Standing Orders / Scheme of Administration Audit Committee / scrutiny Code of Conduct Policies and Procedures – financial, governance, risk Procedures for assessing disputes re resource allocations Governance / legal advice
IJB009 Demographic Changes	Current service models unable to meet future service demands, e.g. in relation to ageing population.	20			9			Jim Forrest	Strategic Plan Programme / service redesign Management of customer expectation
IJB004 Failure of Clinical and Care Governance	Harm to service users.	25			8			Jim Forrest	Existing clinical and care governance arrangements within NHS and Social Policy. Effective performance reporting to IJB SMT and Board. Care and governance group to be formed.

Risk Code and Title	Description	Original Risk Score	Traffic Light Icon	Original Matrix	Current Risk Score	Traffic Light Icon	Current Risk Matrix	Assigned To	Internal Controls
IJB006 Failure of Health and Safety Arrangements.	Harm to employees / volunteers	25			8			Jim Forrest	Existing health and safety arrangements on council and health sides Effective performance reporting to IJB SMT and Board
IJB003 Inadequate Performance Management	Inadequate performance management leads to key performance measures not met.	12			6			Jim Forrest	Agreed outcomes / performance measures Robust performance management within WLC / NHS Regular monitoring by IJB SMT Regular reporting of performance to IJB
IJB007 Community Planning Failure	Inability to work effectively with partners leading to poorer outcomes.	9			3			Jim Forrest	Participation in Community Planning arrangements. Strategic Plan.