

INTERNAL AUDITS OF GOVERNANCE AND PERFORMANCE MANAGEMENT - FOLLOW UP REPORT

A report by the Internal Auditor (copies of which had been circulated) provided the Committee with updates on follow-up exercises which had been undertaken on the internal audits of the governance and performance management of the IJB.

The audit of the Board's governance arrangements was completed in December 2016, and the audit of performance management in June 2017. The purpose of the follow-up exercise was to determine whether actions agreed as a result of these audits had been implemented.

The follow-up identified that all of the agreed actions in relation to performance management had been implemented and that five out of eight actions in relation to governance arrangements had been implemented. The Committee considered the outstanding actions and the comments by the Director which were set out in the appendix to the report.

Members discussed the comments made in the report in relation to a Strategic Plan three-year rolling action plan which was due to be presented to the Board in March 2017. The Director addressed this by advising that an action plan would no longer be required in light of other arrangements which had been put in place since this decision was made. Reassurances were given in response to questions regarding whether the measures in place were sufficient to ensure progress was being made.

Discussion also took place regarding the membership of the Committee which was made up of Integration Joint Board members, and whether it had an appropriate level of independence from the IJB. A suggestion was made that if the Committee felt that there was a knowledge gap in a particular area, there was an opportunity for external members to be co-opted; however it was agreed that this course of action would not be necessary at present.

The Committee commended officers on their work on the actions which had already been implemented and the progress being made towards others.

The Committee was asked to consider the internal audit reports and the findings and to note that in relation to the governance follow up, of the eight actions previously agreed to be implemented, five had been identified as requiring further work; and that in relation to the performance management follow up, all four of the previously agreed actions were found to have been implemented.

Decision

To note the terms of the report.