

West Lothian Integration Joint Board Audit Risk and Governance Committee

Date: 12 December 2018

Agenda Item: 8

INTERNAL AUDITS OF GOVERNANCE AND PERFORMANCE MANAGEMENT- FOLLOW UP REPORT

REPORT BY INTERNAL AUDITOR

A PURPOSE OF REPORT

To inform the Committee of our follow up of previous internal audits of governance and performance management.

B RECOMMENDATION

It is recommended that the Committee considers the internal audit reports and their findings, and notes that:

- in relation to the governance follow up, of the eight actions previously agreed to be implemented, we identified that five needed further work;
- in relation to the performance management follow up, all four of the previously agreed actions were found to have been implemented.

C TERMS OF REPORT

In accordance with the internal audit plan for 2018/19, we have followed up our previous audits of IJB governance arrangements issued in December 2016, and performance management issued in June 2017.

The objective of the follow up work was to determine whether previously agreed actions have been implemented. Our follow up audit reports are attached as appendices.

As stated above, we identified that in relation to the governance follow up, of the eight actions previously agreed to be implemented, five needed further work; and in relation to the performance management follow up, all four of the previously agreed actions were implemented.

D CONSULTATION

IJB Director, Standards Officer, Project Officer, and General Manager Primary Care and Community, as part of the audit process.

E REFERENCES/BACKGROUND

Report to West Lothian Integration Joint Board Audit Risk and Governance Committee 28 March 2018: Internal Audit Plan 2018/19.

F APPENDICES

Internal audit reports: Follow Up of IJB Governance dated 5 December 2018 and Follow Up of IJB Performance Management dated 27 November 2018.

G SUMMARY OF IMPLICATIONS

Equality/Health	The report has been assessed as having little or no relevance with regard to equality or the Public Sector Equality Duty. As a result, equality impact assessment has not been conducted.
National health and Well-Being Outcomes	Indirectly via the audit of key processes to determine their effectiveness.
Strategic Plan outcomes	Indirectly via the audit of key processes to determine their effectiveness.
Single Outcome Agreement	Indirectly via the audit of key processes to determine their effectiveness.
Impact on other Lothian IJBs	None.
Resource/Finance	None.
Policy/Legal	None.
Risk	The audits link directly to risk IJB001 "Governance Failure" and risk IJB003 "Inadequate Performance Management".

H CONTACT

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